Biographical Sketch Policy: Background & Context

- The Biographical Sketch is used to assess how well qualified the individual, team, or organization is to conduct the proposed activities.

- Appointments section must include any titled academic, professional, or institutional position whether or not remuneration is received and whether full-time part-time, or voluntary (including adjunct, visiting or honorary).

- Synergistic Activities must be specific and may not include multiple examples to describe a single activity.
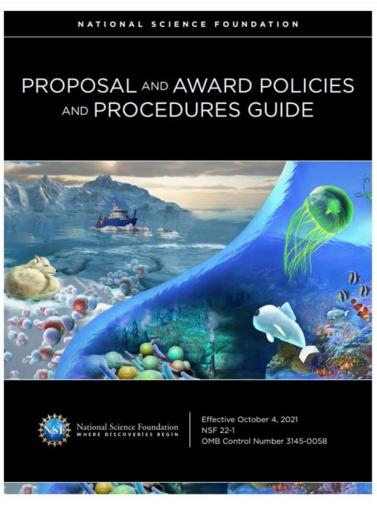
- See PAPPG Chapter II.C.2.f

Current and Pending Support Policy: Background & Context

- NSF uses the information to assess the capacity of the individual to carry out the research as proposed as well as to help assess any potential overlap/duplication.

- Information must be provided for all current and pending support irrespective of whether such support is provided through the proposing organization or directly to the individual.

- In-kind contributions intended for use on the project being proposed must be included in Facilities, Equipment and Other Resources. In-kind contributions not intended for use on the project being proposed and which have an associated time commitment must be reported in Current and Pending Support.

- See PAPPG Chapter II.C.2.h

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PAPPG Significant Changes/Clarification to Current & Pending Support

NATIONAL SCIENCE FOUNDATION

PROPOSAL AND AWARD POLICIES AND PROCEDURES GUIDE

National Science Foundation
WHERE DISCOVERIES BEGIN

Effective October 4, 2021
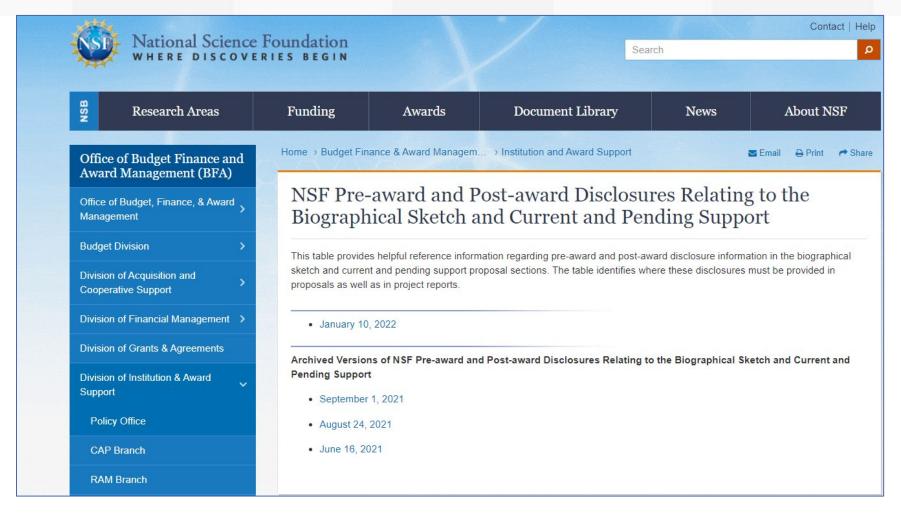NSF 22-1
OMB Control Number 3145-0058

- Objectives and Overlap added to the NSF-approved formats to help reviewers and NSF assess overlap and duplication.

- New table developed entitled, NSF Pre-award and Post-award Disclosures Relating to the Biographical Sketch and Current and Pending Support.

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NSF Pre-Award and Post-Award Disclosures

https://www.nsf.gov/bfa/dias/policy/disclosures_table.jsp

NSF Pre-Award and Post-Award Disclosures

NSF Pre-award and Post-award Disclosures[1/2/3]
Relating to the Biographical Sketch and Current and Pending Support
January 10, 2022

Table Key

* = for new support only

♦ = If undisclosed at the time of proposal submission

Type of Activity	Biographical Sketch	Current & Pending Support	Facilities, Equipment & Other Resources	Project Reports	Post-Award Information Term & Condition	Disclosure Not Required
Undergraduate, graduate, and postdoctoral training, including location, major/area and degree and year	✓					
Academic, professional[4] or institutional appointments, whether or not remuneration is received, and, whether full-time, part-time, or voluntary	✓					
All projects (including this project) currently under consideration from whatever source, and all ongoing projects, irrespective of whether support is provided through the proposing organization, another organization or *directly* to the individual		✓		✓*	✓♦	
In-kind contributions that support the research activity for use on the project/proposal being proposed			✓			

NSF Pre-Award and Post-Award Disclosures

NSF Pre-award and Post-award Disclosures
Relating to the Biographical Sketch and Current and Pending Support
January 10, 2022

Type of Activity	Biographical Sketch	Current & Pending Support	Facilities, Equipment & Other Resources	Project Reports	Post-Award Information Term & Condition	Disclosure Not Required
In-kind contributions not intended for use on the project/proposal being proposed to NSF and have an associated time commitment		✓		✓*	✓♦	
Consulting that is permitted by an individual's appointment and consistent with the proposing organization's "Outside Activities" policies and procedures						✓
Consulting that falls outside of an individual's appointment		✓		✓*	✓♦	
Travel supported/paid by an external entity to attend a conference or workshop						✓
Travel supported/paid by an external entity to perform research activities with an associated time commitment		✓		✓*	✓♦	
Honoraria						✓
Teaching commitments						✓
Startup company based on organization-licensed Intellectual Property (IP)						✓
Startup company based on non-organization-licensed IP		✓		✓*	✓♦	
Organizational startup packages provided to the individual from the proposing organization						✓
Startup packages from other than the proposing organization		✓		✓*	✓♦	

NSF Pre-Award and Post-Award Disclosures

NSF Pre-award and Post-award Disclosures
Relating to the Biographical Sketch and Current and Pending Support
January 10, 2022

Type of Activity	Biographical Sketch	Current & Pending Support	Facilities, Equipment & Other Resources	Project Reports	Post-Award Information Term & Condition	Disclosure Not Required
Unrestricted Gifts[5]						✓
Postdoctoral scholars, students or visiting scholars supported by an external entity, and there is an associated time commitment		✓		✓*	✓♦	
Mentoring as part of appointment						✓
Academic Year Salary						✓

[5] If an item or service meets the definition of a gift, it is not reported. A gift includes any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, license, special access, equipment time, samples, research data, or other item having monetary value. A gift also includes services as well as gifts of training, transportation, local travel, lodging, meals, research hours, whether provided in-kind, by purchase of a ticket, payment in advance, or reimbursement after the expense has occurred. A gift by definition is given without expectation of anything in return.

Post-Award Disclosure of Current Support

- Awardees are required to inform NSF within 30 days of becoming aware of the failure to disclose.

  - Term and condition entitled, *Post-award Disclosure of Current Support and In-Kind Contribution Information*, went into effect on October 5, 2020.

- If an organization discovers that a PI or co-PI on an active NSF award failed to disclose current support or in-kind contribution information as part of the proposal submission process, the AOR must submit this information.

- NSF may consult with the AOR, or designee, if necessary, and determine the impact of the new information on the NSF-funded grant, and, where necessary, take appropriate action.

Current and Pending Support FAQs

If an individual designated as senior personnel on a project does not have effort in a given year, how does this relate to reporting "person months committed to the project" in current and pending support?

You should only report the years that you have person-months on the project. For example, you may only have effort in years one and three of a five-year project.

The actual effort on a project will exceed NSF's two-month rule. How do we show this on future proposals without violating NSF policy?

It is important to note that NSF is not asking for how much time is specified on the budget for a particular individual(s); rather, for their Current and Pending Support submission, how much time the individual(s) is/are planning to spend to complete the scope of work on the proposed project and/or award. If the individual(s) will be spending time on the proposed project or award, then that time must be reported in Current and Pending Support.

Current and Pending Support FAQs

Do proposers need to include overall objectives and statement of potential overlap for all current and pending support entries?

Yes, inclusion of the information on objectives must be provided for all current and pending support entries. If there is no overlap, then that should be stated in the section. If there is overlap with other Projects/Proposals and/or Contributions, that should be described in the "Statement of Potential Overlap", to help NSF and reviewers assess duplication The PAPPG Chapter II.C.2.h provides guidance for the inclusion of information in "Overall Objectives" and "Statement of Potential Overlap".

Do I report start-up packages in current and pending support?

Organizational start-up packages provided to the individual from the proposing organization are not required to be reported. Start-up packages from other than the proposing organization must be reported.

Current and Pending Support FAQs

A project that was listed as "pending" has since been awarded. Do we need to do anything to notify NSF or the program officer that this project will be funded?

The change in active other support must be reported to NSF when the annual or final project reports are due. Additional information is available on the [Research.gov About Project Reports website](#).

When listing the total award amount, should that include funding requested for a subaward or should it include the funding requested for the entire project?

The total award amount requested or received by the subawardee organization must be provided.

Current and Pending Support FAQs

Will the disclosure language for consulting be revised to be more aligned with the NSPM 33 implementation guidance?

NSF is assessing what changes need to be made, if any, for compliance with the NSPM 33 implementation guidance.

If it is discovered after proposal submission that an investigator's current and pending support was incomplete, what do you recommend the proposer do?

It depends upon the timing. If it is discovered prior to a deadline date, or in the case of a target date or no-deadline, prior to assigning reviewers, an organization may use the proposal file update mechanism to submit a revised current and pending support.

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Current and Pending Support FAQs

How should cost sharing commitments be included in current and pending support?

Cost sharing is not related to current and pending support. If you are spending time on the project and completing the effort, that is what should be reported.

What is the reason for changing current and pending disclosure table so often?

NSF listens to you!

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Resources

- NSF Proposal & Award Policies & Procedures Guide (PAPPG)
  - https://nsf.gov/publications/pub_summ.jsp?ods_key=pappg

- NSF-Approved Formats for Current and Pending Support
  - https://www.nsf.gov/bfa/dias/policy/cps.jsp

- FAQs Regarding Current & Pending Support PAPPG (NSF 22-1)
  - https://www.nsf.gov/bfa/dias/policy/cps_faqs/currentandpendingfaqs_dec2021.pdf

- NSF Pre-award and Post-award Disclosures Relating to the Biographical Sketch and Current and Pending Support
  - https://www.nsf.gov/bfa/dias/policy/disclosures_table.jsp

- Policy Office outreach website
  - https://nsfpolicyoutreach.com/

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